

Our ref: E-1061

Client's ref: E-1061.0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Application of: HOEL, Jan-Otto, et al	:	Art Unit:
Serial No. : 10/563781	:	
Filed : January 6, 2006	:	Examiner:
Title : Method For the Production of Trichlorosilane and Silicon for Use in the Production of Trichlorosilane	:	Dated: September 12, 2006

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**COMPLETION OF APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S i r:

Enclosed is:

- (1) Notice to File Missing Parts of Nonprovisional Application
- (2) Declaration and Power of Attorney

The surcharge fee has been charged by credit card.

Should any extensions of time or fees be necessary in order to maintain this Application in pending condition, appropriate requests are hereby made and authorization is given to debit Account # 02-2275.

Respectfully submitted,

LUCAS & MERCANTI, LLP

By:



Donald C. Lucas, 31,275  
Attorney for Applicant(s)  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/563,781	Jan-Otto Hoel	E-1061

20311  
 LUCAS & MERCANTI, LLP  
 475 PARK AVENUE SOUTH  
 15TH FLOOR  
 NEW YORK, NY 10016

**RECEIVED**  
 WITH  
 FRANKS

JUL 26 2006



**LUCAS & MERCANTI LLP**

INTERNATIONAL APPLICATION NO.	
PCT/NO04/00186	
I.A. FILING DATE	PRIORITY DATE
06/24/2004	07/07/2003

**CONFIRMATION NO. 3149**

**371 FORMALITIES LETTER**



\*OC000000019711666\*

Date Mailed: 07/21/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/06/2006
- Copy of the International Search Report filed on 01/06/2006
- Copy of IPE Report filed on 01/06/2006
- Information Disclosure Statements filed on 03/30/2006
- Oath or Declaration filed on 01/24/2006
- Request for Immediate Examination filed on 01/06/2006
- U.S. Basic National Fees filed on 01/06/2006
- Assignment filed on 01/24/2006
- Priority Documents filed on 01/06/2006
- Specification filed on 01/06/2006
- Claims filed on 01/06/2006
- Abstracts filed on 01/06/2006
- Drawings filed on 01/06/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$1010** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does

- not comply with 37 CFR 1.497(a) and (b) in that it:
- does not identify the citizenship of each inventor.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$1010** for a Large Entity:

- Total additional claim fee(s) for this application is \$ **1010**
  - **\$650** for **13** total claims over 20.
  - **\$360** for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/563,781	PCT/NO04/00186	E-1061